



# Post-Submission Assurances

This form is designed to comply with the requirements of NIH Notice [NOT-OD-06-054](#) and to help ensure we are in compliance with 42 CFR 50, Subpart F: Promoting Objectivity in Research.

PROPOSAL INFORMATION			
Proposal Title:			
PI Name:		Grant ID# <i>If applicable</i>	
SUBMISSION TYPE <i>(includes subcontracts)</i>			
New Application	Progress Report		
Renewal/Revised Application	Prior Approval Request		
Other	Details:		

**List all personnel on your project and indicate if they should be classed as an investigator for FCOI purposes.** *Who should be classed as an investigator for FCOI purposes?* Anyone identified as Senior/Key personnel in this grant application AND any other person, regardless of title or position, who is responsible for the design, conduct, or reporting of PHS-funded research.

*Who needs to submit this form?* All PIs submitting a grant application to a PHS-funded organization (e.g., NIH) must identify the personnel who are responsible for the design, conduct, and reporting of such research (regardless of job title or role on the proposed project). This is to be done at the time a new or revised proposal/application (including any subcontract agreement) is submitted.

*What happens next?* Grants Management will follow up as needed to ensure that anyone identified as an investigator has complete and current CITI Financial Conflict of Interest Training, and they have a current annual FCOI disclosure on file.

PERSONNEL LIST & FCOI STATUS					
Name	Role	Key Personnel?		Investigator?	
		Yes	No	Yes	No

ACKNOWLEDGMENT AND CERTIFICATION
<p>I acknowledge and agree to the following by signing my name below:</p> <ol style="list-style-type: none"> <li>That it is my responsibility to disclose, within 30 days, any additions in personnel or changes in responsibilities which affect personnel FCOI status.</li> <li>That the information submitted within the application is true, complete, and accurate to the best of my knowledge.</li> <li>That false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.</li> <li>That I agree to accept responsibility for the administrative and scientific conduct of the project and to provide all required progress reports if a grant is awarded as a result of the application.</li> <li>That there have been <b>no changes in my personal financial conflict of interest status</b> since my annual disclosure, or I have submitted an interim update with details of any new financial interests.</li> </ol> <p>Updates can be emailed to <a href="#">Grants Management (Joanne)</a>.</p>
<p>Investigator Signature _____ Date _____</p>